thyssenkrupp Supplier Code of Conduct

Supplier Statement

Version 4.0

1. The Supplier has received the thyssenkrupp Supplier Code of Conduct 4.0 or the related thyssenkrupp Self-Assessment Questionnaire Version 4.0.

2. The Supplier hereby accepts and undertakes to observe all principles and requirements set out in the thyssenkrupp Supplier Code of Conduct in addition to the obligations resulting from supply contracts with thyssenkrupp.*)

3. The Supplier shall permit thyssenkrupp or any third party commissioned by thyssenkrupp to perform audits on site, if necessary, so that compliance with the principles and requirements set out in thyssenkrupp's Supplier Code of Conduct can be verified. If the audit is performed by a third party, the audit results and the associated documentation shall be communicated to thyssenkrupp so that the agreed audit measures can be implemented.

4. Insofar as improvement measures are required, the Supplier herewith agrees to communicate the results from the implementation of the agreed measures to thyssenkrupp.

5. Suppliers of conflict minerals shall inform thyssenkrupp, if they receive information or documents (e.g. the CMRT or a smelter list) from their own suppliers on so-called conflict minerals and shall make them available without being asked.

*) In exceptional cases, an equivalent Code of Conduct of the Supplier can be recognized.

_____

Supplier's company name

_____	_____
Place, Date	Place, Date
_____	_____
Name (in block letters)	Name (in block letters)
_____	_____
Signature	Signature

This statement must be signed by the Supplier's authorized representatives in accordance with the Supplier's rules of representation (joint signatures as required).

_____

Supplier Reference Number

Company-specific information

_____

Supplier's company name

_____

Address

_____

Country

_____

Production countries

Contact for sustainability issues

Surname, first name: Position:

_____

Email address: Phone:

_____

Place, date: Signature:

_____

_____

Supplier Reference Number